

08-23-01 A

JC962 U.S. PTO



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

08/21/01

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on August 21, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898676687US**, addressed to the Commissioner for Patents, Washington, DC 20231.

*Sunshine Noriega*  
Sunshine Noriega

Attorney Docket No.: CISCP253/4148

First Named Inventor: Lowell Winger

j1046 U.S. PTO  
09/034992



08/21/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**Sir:** This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Lowell Winger**

**For:** SYSTEM AND METHOD FOR REDUCED CODEBOOK VECTOR QUANTIZATION

Assigned to: Cisco Systems Canada Co.

Application Elements:

- 30** Pages of Specification, Claims and Abstract
- 6** Sheets of informal Drawings
- 2** Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- Do not publish this application. Nonpublication Request is attached.
- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449
  - Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard
- Other: Limited Recognition Under 37 C.F.R. §10.9(b)

Fee Calculation (37 CFR § 1.16)

Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	27	MINUS	20	= 7	x 18 =	126
INDEP.	4	MINUS	3	= 1	x 80 =	80
[ ] First presentation of multiple dependent claim						\$270 =
Basic Filing Fee under 37 C.F.R. §1.16(a)						\$710 = 710.00
						<b>TOTAL</b> 916.00
<b>SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)</b>						

Check No. 4606 in the amount of \$956 is enclosed.

The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. CISCP253).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

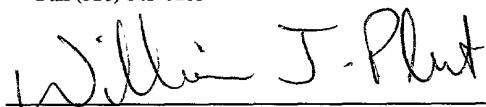
Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. CISCP253).

Please send correspondence to the following address:

Customer Number 022434  
 BEYER WEAVER & THOMAS, LLP  
 P.O. Box 778  
 Berkeley, CA 94704-0778  
 Telephone (510) 843-6200  
 Fax (510) 843-6203



Date: August 21, 2001

  
 William J. Plut  
 Limited Recognition Under 37 C.F.R. §10.9(b)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Lowell Winger

Attorney Docket No.: CISCP253/4148

Application No.: To Be Assigned

Examiner: To Be Assigned

Filed: August 21, 2001

Group: To Be Assigned

Title: SYSTEM AND METHOD FOR  
REDUCED CODEBOOK VECTOR  
QUANTIZATION

CERTIFICATE OF EXPRESS MAILING

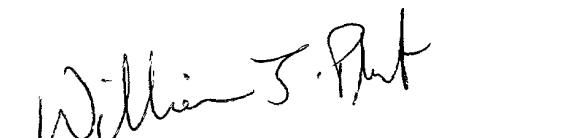
I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on August 21, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898676687US**, addressed to the Commissioner for Patents, Washington, DC 20231.

  
Sunshine Noriega**NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: 8/21/01  
\_\_\_\_\_  
William J. Plut  
Limited Recognition Under 37 C.F.R. §10.9(b)**NOTE:**

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).